3TG Supplier Guide - Overview

Definitions & Acronyms

Qorvo & Responsible Minerals

Summary of Qorvo Requirements

Timeline for Final 2020 Reporting

High-Risk Smelters & Refiners

Additional Resources
## 3TG Supplier Guide

### Definitions & Acronyms

<table>
<thead>
<tr>
<th>Term</th>
<th>Definition</th>
</tr>
</thead>
<tbody>
<tr>
<td>3TG</td>
<td>Tin, tantalum, tungsten or gold (also referred to as “Conflict Minerals”)</td>
</tr>
<tr>
<td>Active SOR</td>
<td>Smelter or refiner that has formally committed to undergo the RMAP assessment</td>
</tr>
<tr>
<td>CMRT</td>
<td>Conflict Minerals Reporting Template, developed by the RMI to facilitate the transfer of 3TG data</td>
</tr>
<tr>
<td>Conformant SOR</td>
<td>Smelter or refiner that has been audited by a recognized assessment program, and found to be conformant to the relevant protocols &amp; standards</td>
</tr>
<tr>
<td>LBMA</td>
<td>London Bullion Market Association, RMAP crossed recognized assessment program for gold</td>
</tr>
<tr>
<td>OECD</td>
<td>Organisation for Economic Co-operation and Development (<a href="http://www.oecd.org">www.oecd.org</a>)</td>
</tr>
<tr>
<td>RJC</td>
<td>Responsible Jewellery Council, RMAP crossed recognized assessment program for gold</td>
</tr>
<tr>
<td>RMAP</td>
<td>Responsible Minerals Assurance Process, RMI assessment program for SOR management systems and sourcing practices</td>
</tr>
<tr>
<td>RMI</td>
<td>Responsible Minerals Initiative, offers tools and resources to help companies address responsible mineral sourcing</td>
</tr>
<tr>
<td>SOR</td>
<td>Smelter or Refiner</td>
</tr>
</tbody>
</table>

© 2020 Qorvo US, Inc.
Qorvo actively works with its supply chain to promote responsible sourcing and address potential risks related to the mining and trade of mineral resources, including 3TG. Our responsible minerals program was designed to align with the *OECD Due Diligence Guidance*, and our suppliers are expected to do the same.

**Supplier Expectations**

- Adhere to Qorvo’s specification on responsible minerals sourcing – SPE-001288

- Maintain a due diligence program & policy for responsible minerals sourcing

- Use SORs validated as conformant to the RMAP or cross-recognized audit program (i.e., LBMA or RJC)

- Communicate these requirements to relevant sub-tier suppliers in your supply chain

View our policy [here](#)
3TG Supplier Guide

Summary of Qorvo Requirements

- Identify & mitigate risks in your 3TG supply chain
- Respond to requests & implement corrective actions as needed
- CMRT Campaign Process

- Establish a responsible minerals policy that is consistent with the OECD Guidance
- Use third-party validated smelters and refiners (i.e., RMAP, LBMA, RJC)
- Communicate these requirements to relevant sub-tier suppliers in your supply chain

- Survey your supply chain on their use of 3TG and respond to Qorvo with a completed CMRT for the reporting period.
- Respond to any actions required with a corrected CMRT and/or action plan to address reporting issues identified by Qorvo (if applicable)

- Qorvo requests CMRTs from suppliers at least bi-annually (mid-year & end-of-year).
- Suppliers are expected to push the requests to relevant sub-tier suppliers to identify and changes to their 3TG data for the reporting period.
- See SPE-001287 for specific details on Qorvo’s CMRT Review Criteria.
3TG Supplier Guide

**Timeline for Final 2020 Reporting**

- **December 2020**: Supplier notified of upcoming campaign
- **January 2021**: 
  - 3TG Surveys Initiated
    - Suppliers are requested to submit an updated CMRT within 30 days of the request date
  - Qorvo notifies supplier of any errors or actions required
- **February 2021**: Supplier Responds
  - Supplier surveys their supply chain and provides an up-to-date CMRT to Qorvo
- **March 2021**: Supplier Addresses SOR Risks
  - If any high-risk SORs are identified in the CMRT, supplier should engage the SOR to participate in the RMAP or remove them from the supply chain

A product level CMRT may be required due to the # of identified issues in a supplier’s original CMRT response.

Supplier Provides Product Level CMRT (if requested)

The Product Level CMRT should be specific to products purchased by Qorvo.
High-risk SORs are SORs that have not been validated by a third-party audit program and are believed to be associated with serious concerns such as human rights violations, violence or conflict, or connections with facilities on sanctions lists.

Use of high-risk SORs is contrary to Qorvo’s commitment to responsible sourcing and therefore, require removal from our supply chain. When a high-risk SOR or an SOR that refuses to be validated by a third-party audit is found in a supplier’s supply chain, the supplier should provide an action plan for how they will address the potential SOR risk and a timeframe for removal.
Additional Resources
3TG Supplier Guide
Recognized Audit Programs

An essential way to mitigate potential risks in your 3TG supply chain is to source from SORs that have undergone a third-party audit. The following programs are accredited to perform audits related to responsible mineral sourcing.

- RMAP Conformant Smelter List
- RJC Chain-of-Custody Certified Facilities
- LBMA Good Delivery List

© 2020 Qorvo US, Inc.
Communicate Expectations

Regularly communicate your 3TG expectations to relevant suppliers. Support suppliers by sharing 3TG guidance and/or best practices.

Engage with Suppliers

Regularly follow-up with your non-responsive suppliers to ensure 100% 3TG reporting. Remind them of the importance of having transparency in the supply chain.

Engage with SORs

Reach out to non-validated SORs to encourage their participation in the RMAP / cross-recognized program. Communicate the benefits of having their sourcing practices validated by a third-party audit.
3TG Supplier Guide
Additional Online Resources

- Conflict Minerals Reporting Template
- CMRT v6.01 Completion Guide
- Smelter Reference List
- RMAP Conformant / Active Smelter & Refiner Lists
- RMI e-Learning Academy
Questions?

Contact Responsible.Sourcing@Qorvo.com

We’re happy to help!