

## 3TG Supplier Guide - Overview

**Definitions & Acronyms** 

**Qorvo & Responsible Minerals** 

**Summary of Qorvo Requirements** 

**Timeline for Final 2021 Reporting** 

**High-Risk Smelters & Refiners** 

**Additional Resources** 



### **Definitions & Acronyms**

Term	Definition
3TG	Tin, tantalum, tungsten or gold (also referred to as "Conflict Minerals")
Active SOR	Smelter or refiner that has formally committed to undergo the RMAP assessment
CMRT	Conflict Minerals Reporting Template, developed by the RMI to facilitate the transfer of 3TG data
Conformant SOR	Smelter or refiner that has been audited by a recognized assessment program, and found to be conformant to the relevant protocols & standards
LBMA	London Bullion Market Association, RMAP crossed recognized assessment program for gold
OECD	Organisation for Economic Co-operation and Development (www.oecd.org)
RJC	Responsible Jewellery Council, RMAP crossed recognized assessment program for gold
RMAP	Responsible Minerals Assurance Process, RMI assessment program for SOR management systems and sourcing practices
RMI	Responsible Minerals Initiative, offers tools and resources to help companies address responsible mineral sourcing
SOR	Smelter or Refiner





#### **Qorvo & Responsible Minerals**

Qorvo actively works with its supply chain to promote responsible sourcing and address potential risks related to the mining and trade of mineral resources, including 3TG. Our responsible minerals program was designed to align with the *OECD Due Diligence Guidance*, and our suppliers are expected to do the same.

View our policy here

#### **Supplier Expectations**

Adhere to Qorvo's specification on responsible minerals sourcing – SPE-001288



Maintain a due diligence program & policy for responsible minerals sourcing



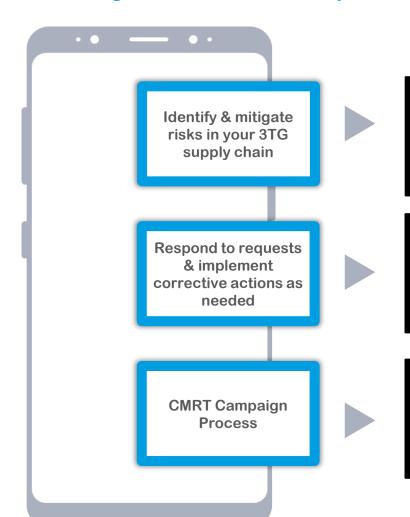
Use SORs validated as conformant to the RMAP or cross-recognized audit program (i.e., LBMA or RJC)



Communicate these requirements to relevant sub-tier suppliers in your supply chain



#### **Summary of Qorvo Requirements**



- Establish a responsible minerals policy that is consistent with the OECD Guidance
- Use third-party validated smelters and refiners (i.e., RMAP, LBMA, RJC)
- Communicate these requirements to relevant sub-tier suppliers in your supply chain



- Survey your supply chain on their use of 3TG and respond to Qorvo with a completed CMRT for the reporting period.
- Respond to any actions required with a corrected CMRT and/or action plan to address reporting issues identified by Qorvo (if applicable)



- Qorvo requests CMRTs from suppliers at least bi-annually (mid-year & end-of-year).
- Suppliers are expected to push the requests to relevant sub-tier suppliers to identify and changes to their 3TG data for the reporting period.
- See <u>SPE-001287</u> for specific details on Qorvo's CMRT Review Criteria.







#### **Timeline for Reporting**

#### **3TG Surveys Initiated**

Suppliers are requested to submit an updated CMRT within 30 days of the request date

Qorvo notifies supplier of any errors or actions required

#### **Supplier Addresses SOR Risks**

If any high-risk SORs are identified in the CMRT, supplier should engage the SOR to participate in the RMAP or remove them from the supply chain



June 2021 December 2021 July 2021 January 2022



August 2021 February 2022 September 2021 March 2022



Supplier notified of upcoming campaign



Supplier surveys their supply chain and provides an up-todate CMRT to Qorvo A product level CMRT may be required due to the # of identified issues in a supplier's original CMRT response

**Supplier Provides Product Level CMRT (if requested)** 

The Product Level CMRT should be specific to products purchased by Qorvo





#### **High-Risk Smelters & Refiners**

High-risk SORs are SORs that have not been validated by a third-party audit program and are believed to be associated with serious concerns such as human rights violations, violence or conflict, or connections with facilities on sanctions lists.

Use of high-risk SORs is contrary to Qorvo's commitment to responsible sourcing and therefore, require removal from our supply chain. When a high-risk SOR or an SOR that refuses to be validated by a third-party audit is found in a supplier's supply chain, the supplier should provide an action plan for how they will address the potential SOR risk and a timeframe for removal.





## **Additional Resources**



#### **Recognized Audit Programs**

An essential way to mitigate potential risks in your 3TG supply chain is to source from SORs that have undergone a third-party audit. The following programs are accredited to perform audits related to responsible mineral sourcing.







### Tips for Engaging with your Supply Chain

# **Communicate Expectations**



Regularly communicate your 3TG expectations to relevant suppliers.

Support suppliers by sharing 3TG guidance and/or best practices.

# **Engage with Suppliers**



Regularly follow-up with your nonresponsive suppliers to ensure 100% 3TG reporting.

Remind them of the importance of having transparency in the supply chain.

## Engage with SORs



Reach out to non-validated SORs to encourage their participation in the RMAP / cross-recognized program.

Communicate the benefits of having their sourcing practices validated by a third-party audit.





#### **Additional Online Resources**

- Conflict Minerals Reporting Template
- <u>CMRT v6.01 Completion Guide</u>
- Smelter Reference List
- RMAP Conformant / Active Smelter & Refiner Lists
- RMI e-Learning Academy







#### **Questions?**

Contact Responsible.Sourcing@Qorvo.com

We're happy to help!



